



Herefordshire Council
Corporate Risk Register - October 2010



Corporate/Directorate/Service/Project: _____

Chief Executive/Director/HOS/Project Owner: _____

Risk Details					Existing Controls	Current Risk Rating					
Risk Reference Number	Corporate Objectives	Risk Description	Likelihood (Probability)	Potential Consequence (Severity)	Risk Score	Controls in Place	Likelihood (Probability)	Consequence (Severity)	Residual Risk Score	Risk Owner	Cost of Mitigation
CR49	The best possible life for every child, safeguarding vulnerable children and improving educational attainment	Inadequate procedures in place to ensure safeguarding of children which could lead to closer scrutiny and in extreme cases a 'Baby P' case in Herefordshire. (Related NI 65 % of children becoming subject of a Child Protection Plan for a second or subsequent time)	5	5	25	External review within CYPD undertaken in 2008, reported in January 2009. Clear, robust action plan now in place monitored through the Herefordshire Safeguarding Children Board. Revised governance arrangements for the HSCB and quality assurance framework in place, including regular quality audits of cases. Multi agency procedures in place and published on HSCB website. and are regularly reviewed including compliance with Working Together 2010. Programme of case audits in place through HSCB and CYPD. New safeguarding Handbook devised and issued to all Safeguarding staff June 2010. Unannounced inspection of contact and referral service for cyp June 2010 resulted in priority action. Subsequent announced inspection of safeguarding and LAC in September 2010 resulted in adequate rating for safeguarding in Herefordshire. Improvement plan in place to ensure practice, management and QA at referral point.	2	5	10	DS	

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CR50	The best possible life for every child, safeguarding vulnerable children and improving educational attainment	Reduction in central funding unless we address surplus places, resulting in lack of resources and subsequent decline in quality of lessons delivered	4	5	20	Cabinet set out an approach to the development of educational provision in November 2009, following on from the Herefordshire Schools Task Group. Within their clusters, some schools have proposed future models of collaboration. These will be discussed at Children's Scrutiny Committee before being used to develop a strategic plan for educational provision, which should develop through a localities approach and will be a major work stream for CYPD post May 2011 elections. However, from a financial perspective a significant number of schools are predicted to face financial challenges in the next two years.	3	5	15	DS	
CR51	Reshaped adult health and social care, so that more older and other vulnerable people maintain control of their lives	Significant budgetary pressures particularly in Learning Disabilities and Older People. Risk of reduced or poorer services thus inability to reach the Council's top priorities for health & well being. (Related NI's are 136, 142 and 125)	4	5	20	Robust Cost recovery plan being established to ensure that efficiencies are generated, key interdependencies with Health and Social Programme are recognized this programme has a key role in delivering improvements, which includes the Putting People First Programme.	4	5	20	IW	
CR52	Reshaped adult health and social care, so that more older and other vulnerable people maintain control of their lives	Failure to make improvements in the key areas as identified by the CQC and as reported in the Performance Assessment Notebook, thus leading to the Council not meeting its absolute duty in safeguarding adults. (Related NI's are 125, 130, 132, 133, 136 and 142)	3	5	15	Implementation of the joint health and social care commissioning plans; ensure self-directed care and personalised services are offered to the majority of service users; increase range and availability of support to carers; integrate health and social care across front line services; develop and implement Older People's Strategy.	2	5	10	IW	
CR 53	Organisational improvement and greater efficiency	The CSR10 announcement on 20th October 2010 indicated councils will see a 7.1% annual fall in budget	5	5	25	The shared services project, management delayering and the Rising to the Challenge programme will produce savings to help close the funding gap. It is also estimated that procurement savings will also make a significant contribution.	3	3	9	DP	
CR54	Economic Development & Enterprise	ESG Project. This is a complex project with a range of risks associated with it which have been exacerbated by the economic downturn. These risks include reputational, funding and delivery.	4	4	16	The ESG board has risk management arrangements in place in order to address each aspect of risk. Advantage West Midlands and Herefordshire Councils senior officer group are also in place to ensure progress.	4	3	12	GH	

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CR55	Organisational improvement and greater efficiency	Lack of ongoing organisation-wide attention to data issues means a failure to maintain and improve quality resulting in errors, waste of resources, poor audit reports, potential reputational damage and an adverse Use of Resources score under CAA.	5	4	20	Regular ICPR reports to JMT & Cabinet. Implementing the data quality action plan, completing the internal audit work plan, delivering information management training and the existence of the Performance Improvement Network (PIN)	4	3	12	DT	
CR56	Organisational improvement and greater efficiency	Failure to establish ELMS payment portal by 28th December 2009. With no ability to provide this facility (in accordance with EU Services Directive), the authority will be open to potential litigation. Should the facility not be available by the deadline, the only mitigation will be evidence of Best Endeavors.				ELMS project to implement the EU Directive requirements. Project team established, PID and project approach have been agreed. Business analysis work is being prepared. Procurement of managed service has begun.					

Signed: _____

Date: